



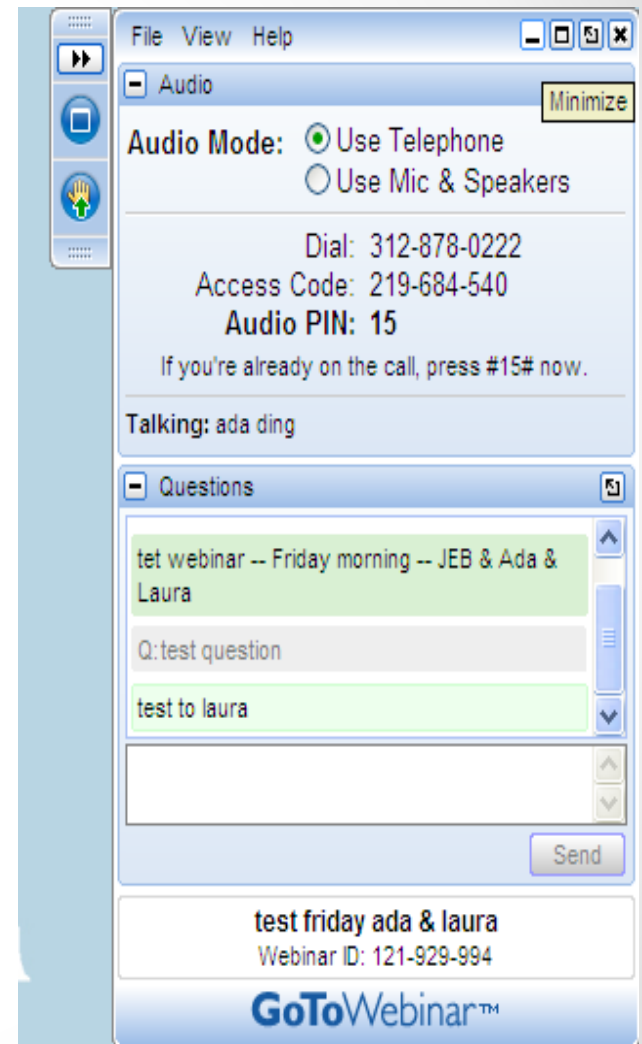
ASERL/CRL Collection

Analysis Follow-up

April 10, 2019

# USING THE WEBINAR SYSTEM

- **Audio for all participants is automatically muted.**  
*You may speak freely with colleagues.*
- **You can ask a question in two ways:**
  - Enter text in “Questions” or “Chat” boxes
  - **Raise your hand;** moderator will temporarily un-mute your audio connection.



# ASERL/CRL Collection Analysis Pilot

## Six ASERL/CRL Member Libraries:

- Emory University
- University of Alabama
- University of Florida
- University of South Carolina
- University of Tennessee-Knoxville
- Virginia Tech

Over **761,000** records submitted.

Just under **202,000** records were analyzed.

# What Data are libraries asked to submit?

**Print Serials Bib and Holding Records** for each OCLC holding symbol used by the library.

All libraries submit their data at the same time and are compared as a group.

# Print Serial Record

- Bibliographic level, Leader position 07 = s (serial)
- Serial type, 008 position 21 =
  - blank (serial)
  - p = periodical (serial)
  - / = no attempt to code (serial)
- Record Type, Leader position 06 = a (print)
- Form of item, 008 position 23 =
  - blank (print)
  - / = no attempt to code (print)

What are libraries asked  
to exclude?

# Non-serial records

Bibliographic Level, Leader position 07 =

- 3 = invalid code
- 4 = invalid code
- a = monograph
- b = serial component part
- c = collection
- d = subunit
- i = integrating resource
- m = monograph/item
- y = invalid code



# Non-serial records

Serial type, 008, position 21 =

- # = invalid code
- ? = invalid code
- @ = invalid code
- 0 = invalid code
- d = updating database
- l = updating loose-leaf
- m = monographic series
- n = newspaper
- w = updating web site

# Non-print records

Form of item, 008 position 23 =

- - = Invalid code
- @ = Invalid code
- 0 = Invalid code
- | = Invalid code
- a = Microfilm
- b = Microfiche
- c = Microopaque
- d = Large print
- f = Braille
- o = Online
- q = Direct electronic
- r = Regular print reproduction
- s = Electronic

# Government Documents

008 position 28 not equal to blank, u or fill character (|)

OR

Bibliographic record contains MARC 074 or 086

# Locations/Collections

Any branch locations or collections which the library has identified as out-of-scope.

What do libraries receive  
in return?

# Checks Report

An analysis of each libraries' submitted records, with a data integrity check against OCLC Worldcat.

- Records are identified for inclusion/exclusion from further analysis with an indicator for that decision.
- Provides opportunity to check cataloging accuracy for ongoing data integrity activities.

# Cross Comparison Report

Identifies the overlap in holdings across the participating libraries, showing the number of holding libraries for each title - from one holding library to all participating libraries.

- Identifies holdings from ASERL libraries that are retained by other shared print programs as represented in the Print Archive Preservation Registry [PAPR].
- Provides the opportunity for making further comparisons against titles retained for Scholars Trust and Rosemont Shared Print Alliance.



- Compares analyzed holdings against all holdings in Worldcat.
- Provides the opportunity to establish a community standard for determining rare/unique or widely-held titles.

# Allocations Report

All records are analyzed for completeness - to determine if the library holds all volumes of a title from the start of the run through the last volume published.

Each library receives a list of titles for which they are one of top three libraries with the most complete run of the title.

Based on the completeness of their holdings, the Allocation Report nominates these three libraries to retain the title for Scholars Trust.



### **Scholars Trust**

In early 2013, ASERL and the Washington Research Library Consortium ([WRLC](#)) signed an agreement to combine the contents of their respective print journal archives under a single retention and access agreement. The combined title list exceeds 8,000 journal titles and more than 250,000 volumes, making [Scholars Trust](#) one of the largest print journal repositories in the United States. At the same time, WRLC and ASERL libraries have agreed to extend [reciprocal priority Inter-Library Loan \(ILL\) services](#) across the group.

To participate in the program, an ASERL library need only submit a concise letter of agreement signed by the library dean (or higher authority) affirming to comply with the program policies.

[Sample Letter of Agreement](#)

### **News**

[Scholars Trust Program Update, January 2019](#)

[ASERL-CRL Collection Analysis Pilot Reports](#)

<http://www.aserl.org/programs/j-retain/>

# Developing a Retention Model for Scholars Trust

# A Community Decision

- Identify out-of-scope materials (content or location)
- Number of copies to retain
- Community standard for “widely held”
- Community standard for “rare/unique”
- Depth of overlap in holding libraries
- Completeness of holdings
- Scholars Trust | Rosemont title (PAPR)
- LC Class/Subject
- Language
- Publication start or end date
- JSTOR/Electronic

# Data Visualizations

Louis Becker

# What's Next?

Draft Retention Model

**Mid-May**

Expanded Collection Analysis

**July 1, 2019**

# Questions?

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