



Town Hall e-Meeting:
A Rosemont Shared
Print Alliance Update

Wednesday, March 18, 2020

3:00pm-4:00pm

Welcome!

Agenda

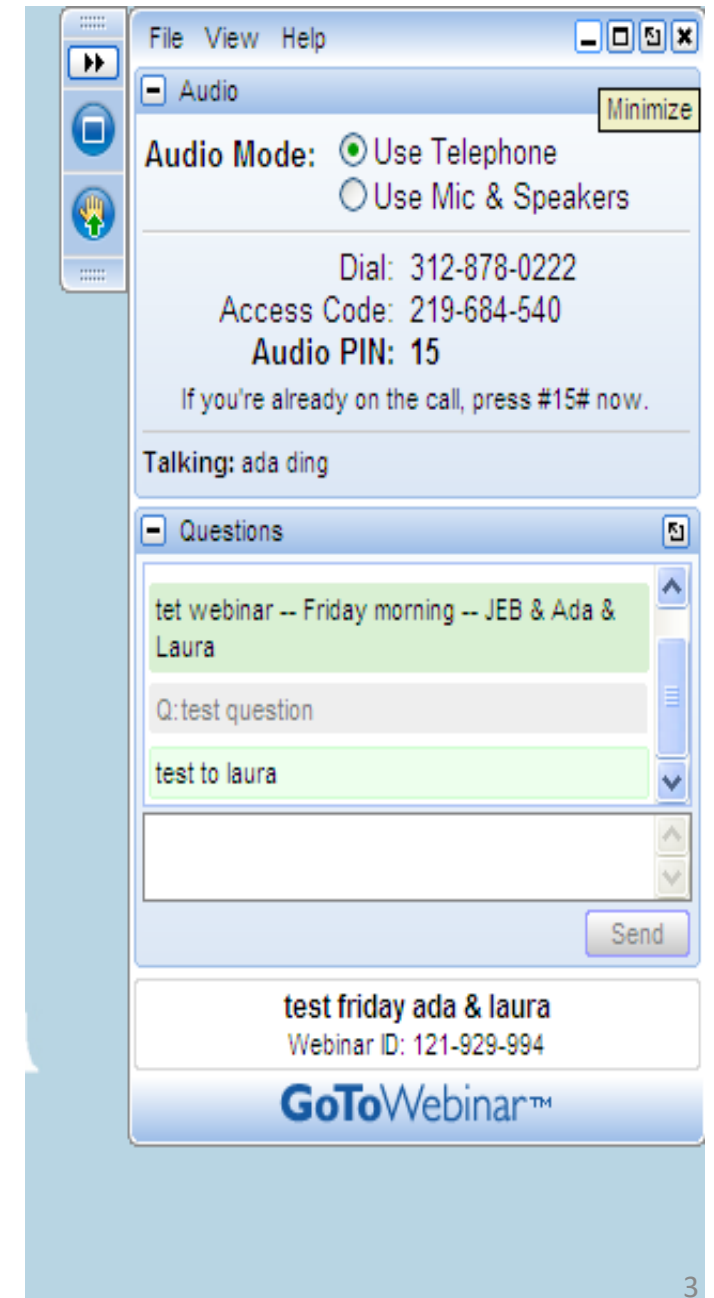
1. Welcome
2. Rosemont Shared Print Alliance Update
 - a. Last Copy Principles and Guidelines
 - b. Best Practices: Metadata, Condition Review & Validation
3. Scholars Trust
 - a. Journal Retention & Needs Listing [JRNL] Gap Functionality Enhancement
 - b. ASERL CRL Collection Analysis Update
4. Announcements

USING THE WEBINAR SYSTEM

- **Audio for all participants is automatically muted.**

You may speak freely with colleagues.

- **You can ask a question in two ways:**
 - Enter text in “**Questions**” or “**Chat**” boxes
 - **Raise your hand**; moderator will temporarily un-mute your audio connection.





Program Update



ROSEMONT

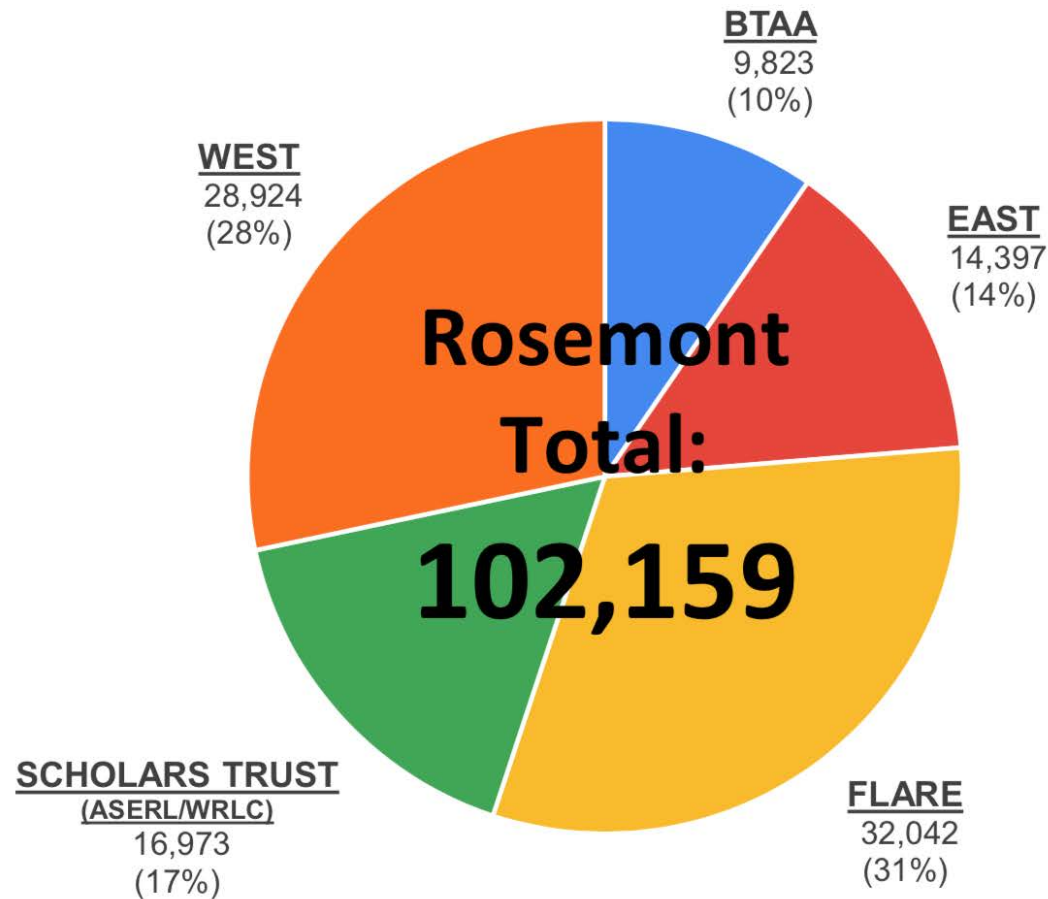
SHARED PRINT ALLIANCE



FLorida
Academic
REpository



Total Titles by Program - December 2019

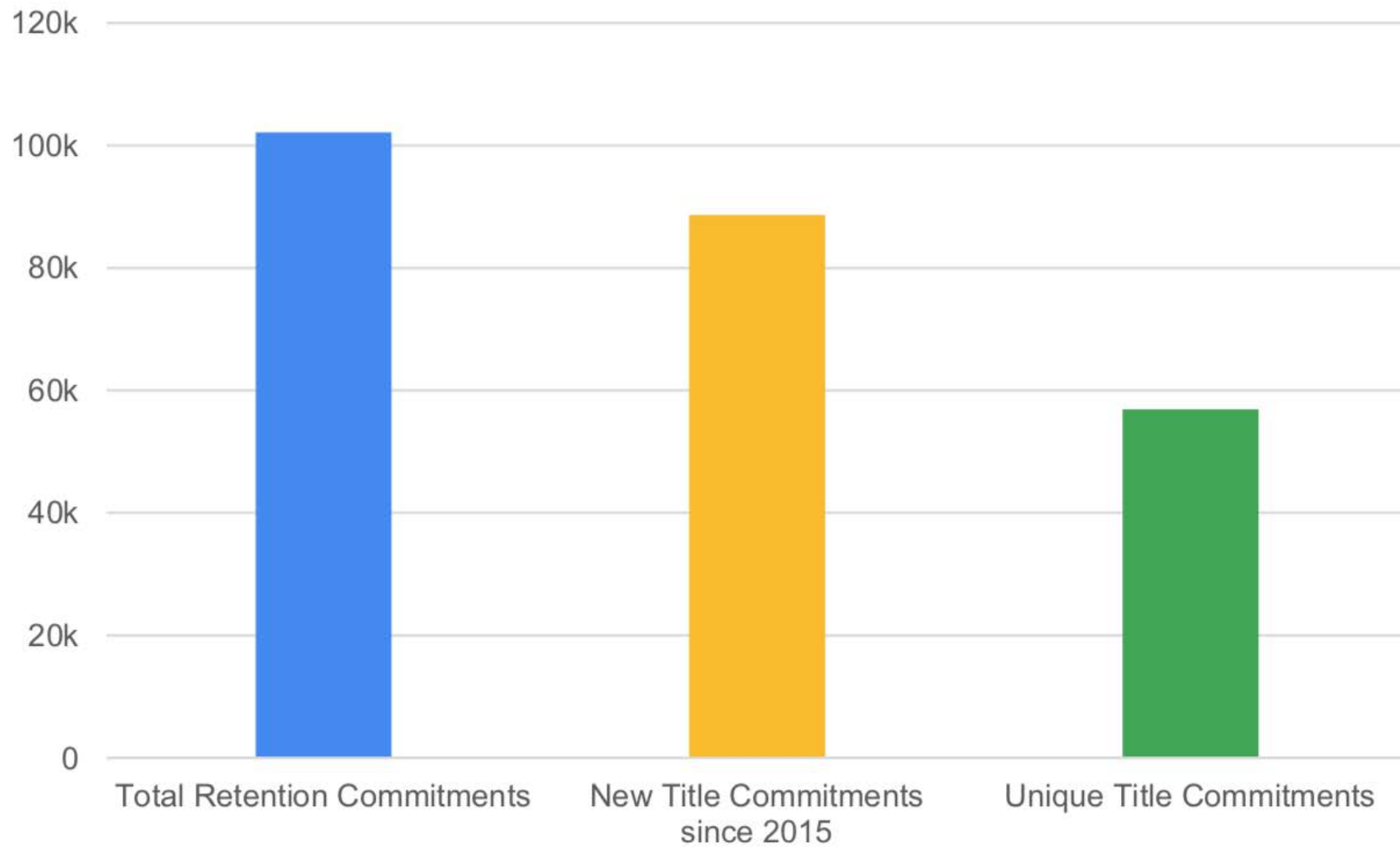


When the Rosemont Shared Print Alliance was formed in 2015, the participating shared print programs had retention commitments for approximately 45,000 journal titles.

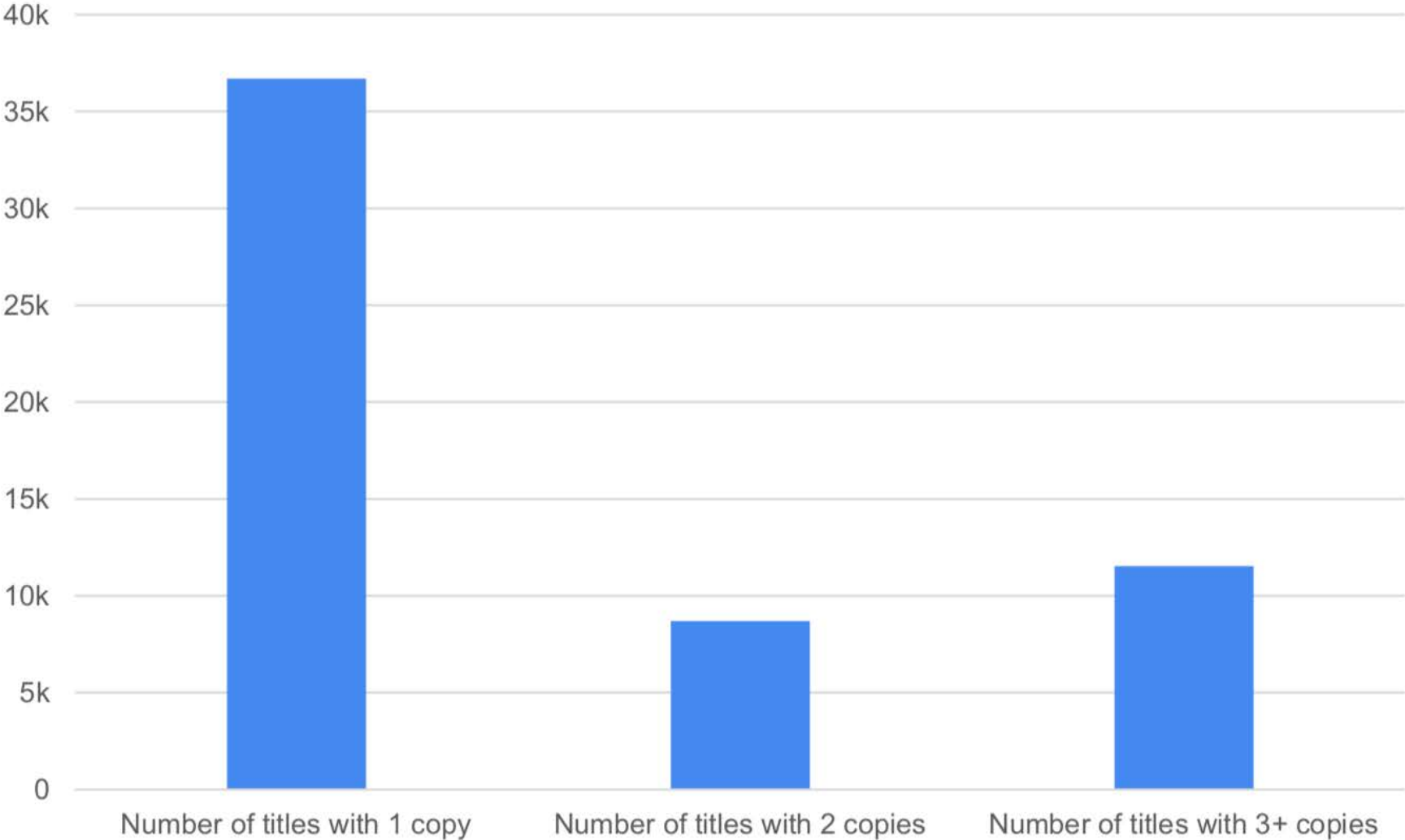
The Rosemont Alliance set a goal of adding 100,000 New Titles by 2021.

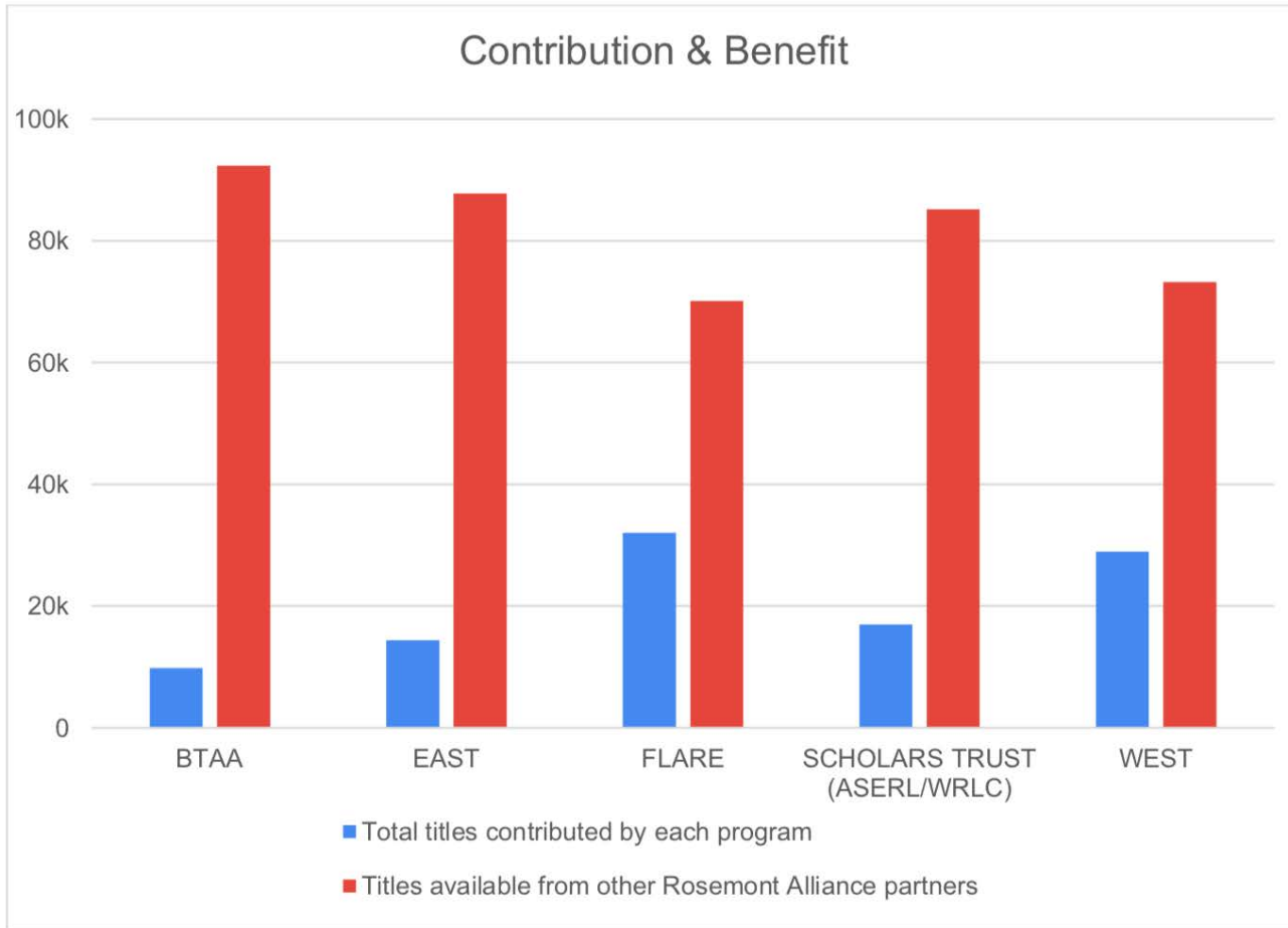
A title is considered “New” until the Rosemont Alliance reaches three retention commitments for that title.

Title Count - December 2019



Duplication - December 2019





All participants benefit from their participation in the Rosemont Shared Print Alliance.

Each program participating in the Rosemont Alliance has agreed that all journals they are retaining are also retained on behalf of and available to all the Rosemont Alliance participants.

Last Copy Guidelines

<https://rosemontsharedprintalliance.org/policies-and-procedures>

Definition of a Last or Scarce Copy

A last or scarce copy is a title for which only one, two, or three copies are found in OCLC WorldCat to exist among RSPA participating libraries.

<https://rosemontsharedprintalliance.org/participating-libraries>

Guiding Principle

Participants in the Rosemont Shared Print Alliance seek to ensure that last or scarcely held print journal titles are preserved and accessible for future scholars.

This may include retention by the library that owns the last or scarce title or transferring the material to a participating storage facility or institution that is willing and able to retain it under RSPA guidelines.

Collectively, RSPA participants agree to the following principles:

- To exercise due diligence in determining scarcity of print journal titles in their collections through WorldCat or other group analytics.
- If retention in place is not possible, libraries should consider transfer of the retained material to another institution within the home shared print program (e.g. WEST, FLARE, etc.).
 - *If donation/transfer is not possible, negotiate a long-term loan with the receiving institution or parent organization of the storage facility.*
- If retention is not possible in the local program, to donate any last/scarce holdings to a willing RSPA institution where they will be retained for the duration of the recipient's retention period.
- To manage print journal holdings in accordance with the RSPA policies and principles.
- To retain the last or scarce copy for the duration of the RSPA participant's shared print program's retention period.

Donation/Transfer Guidelines

To be followed only when an attempt to donate or transfer material within the shared print home program has been unsuccessful.

- Process a donation form through the local program.
- Ensure that volumes are complete or that gaps are noted.
- Ensure the material is in good condition, and free of mold, mildew, dust, and insects or note exceptions.
- Donate/transfer all holdings and relinquish ownership to the receiving institution; or if donation/transfer is not possible, negotiate a long-term loan.
- Withdraw the holdings from the local catalog, and remove retention commitments in shared registries (e.g. PAPR). Facilities which receive the material will be responsible for re-registering the commitment.
- Pay for shipping, unless otherwise arranged.

Poll Question

Do you object to this moving forward with a recommendation to the Governing Council?

Best Practices

The Rosemont Shared Print Alliance recommends a set of best practices for validating the completeness of the serials and journals being retained as well as the metadata used to describe them.

These recommendations build on and incorporate the work of existing shared print programs such as WEST and EAST, as well as organizations such as the Library of Congress, OCLC, and the Partnership for Shared Book Collections.



PARTNERSHIP FOR SHARED BOOK COLLECTIONS

The Partnership is a federation of monograph shared print programs in the U.S. and Canada with the goal of coordinating collaboration across the programs to support cost-effective retention of and access to print book collections -- ensuring the long-term preservation, accessibility and integrity of these scholarly print resources.

Retention, validation, and condition actions should be recorded in local library systems and agreed upon cross program systems of record, e.g. PAPER, at a minimum following the Metadata Guidelines provided by OCLC:

<https://www.oclc.org/en/services/shared-print-management/metadata-guidelines.html>

Notes for LHRs

The following fields are recommended to identify archived materials in WorldCat and PAPR.
(Adapted from WEST guidelines)

OCLC control number of the corresponding WorldCat bibliographic record. This can be the 004, 014, or 035 field but it must consistently be in the same location in all records. Required for WorldCat but not for PAPR.

Leader and Directory

001 - Local System Control Number

007 - Physical Description Fixed Field

008 - Fixed-Length Data Elements

022 – ISSN

561 - Ownership and Custodial History

583 - Action Note(s)

852 – Location

85x/86x Coded holdings (formatted holdings pairs) (if available)

866/768/868 Summary holdings (text) (if no 85x/86x formatted holdings pairs)

Journal Retention & Needs Listing [JRNL] Enhancement Proposal

JRNL Oversight Committee

Big Ten Academic Alliance (BTAA)

- Rebecca Crist, BTAA

Florida Academic Repository (FLARE)

- Ben Walker, Chair, University of Florida/
- Tabby Pursley, University of Florida (FLARE)
- Chris Nicolich, University of Florida (FLARE)
- Peter Spyers-Duran, University of Central Florida (FLARE)
- Lisa Fish, University of Miami (FLARE)

Scholars Trust

- Cheryle Cole-Bennett, ASERL
- Nadine Ellero, Auburn University

Western Regional Storage Trust (WEST)

- Alison Wohlers, WEST
- Soobum Kim, Stanford University

Background

The JRNL gap tool is set up to list each gap (volume/issue) as an individual entry with multiple entries per title, per location. If a given title is missing multiple volumes or issues, each is listed separately.

10024196	IEEE Software	Library Service Center - Georgia Tech	v.5:no.2	1989
10024196	IEEE Software	Library Service Center - Georgia Tech	v.16:no.1	1999
1007653	Public Health Reports (1896-1970)	UNC-CH Library Service Center	72	1957
1007653	Public Health Reports (1896-1970)	UK - Remote Storage Facility - MED	v.16	1901
1007653	Public Health Reports (1896-1970)	UK - Remote Storage Facility - MED	v.22	1907
10219247	Economic Affairs	WakeForest - Remote Storage Facility	20	2000
10281251	Composite Structures	VaTech - Remote Storage Facility	1	

Item level gap entries require significant data entry and has led many users to enter their gaps as statements (like holdings) instead of listing each one individually.

1012440	Werk.	Stanford University Shared Print in Storage	missing issues Jahrg.29(1942)-Jahrg.42(1955)
10131506	American Building Association news :	Stanford University Shared Print in Storage	missing issues v.1(1880)-v.59(1939)
10151791	Chester White journal.	UNIV OF CALIFORNIA, NRLF SHARED PRINT	missing v.76:10-77:7, 77:10-80:2
1017201	Institutional investor.	Arizona State University Shared Print Storage	missing v.1-3; v.22:1; v.23:1,11,14; v.24:5,10; v.25:8, 11; v.29:2; v.32:11
10182627	Climatic perspectives.	Arizona State University Shared Print Storage	missing v.15-16; missing issues v.5 Jan-Apr; v.10 March; v.14:9-12

The Problem

The system is not being used as intended and the gap filling functionality does not support this deviation.

Keeping the gap tool as is (allowing multiple gap entries), requires manually dealing with each gap record rather than allowing batch imports to update gap statements.

The Solution

The JRNL Oversight Committee unanimously recommends that JRNL be modified to allow a single gap statement per Title per Location in order to implement an overwrite process - the same as Holdings currently functions.

This allows for batch imports of gap statements without creating duplicates.

Link to Proposal: <https://bit.ly/2T7SkOV>

Implications for users

- A single gap statement per title per location. No longer able to see individual volumes needed by an institution.
- Ability to fill whole gap statement or a partial fill.
- Required to revise/reload gap statements each time a gap is partially filled.
- 60 calendar days to complete a gap fill transaction.
- Time savings (through batch uploads) to maintain accurate records.

Poll Question

Do you object to this moving forward with a recommendation to the Governing Council?

Implementation Timeline Summer of 2020



ASERL CRL Collection Analysis Update

33 ASERL Libraries | 35 OCLC Symbols

1. Auburn University
2. Clemson University
3. Duke University
4. East Carolina University
5. Emory University
6. Florida International University
7. Georgia Tech
- 8. George Mason University**
- 9. Georgia State University**
10. Johns Hopkins University
11. Louisiana State University
12. Mississippi State University
13. NC State University
14. Tulane University
15. U-Alabama
16. U-Central Florida
17. U-Florida
18. U-Georgia
19. U-Kentucky
20. U-Louisville
21. U-Memphis
22. U-Mississippi
23. UNC - Chapel Hill
24. UNC - Charlotte
25. UNC - Greensboro
26. U-South Carolina
27. U-Tennessee
28. U-Virginia
- 29. Vanderbilt University**
30. Virginia Tech
31. Virginia Commonwealth University
32. Wake Forest University
33. William & Mary

ASERL Goal: To retain 4,500 additional titles by 2021

Important Dates

- July 1, 2019 – ASERL libraries submitted records to CRL
- August 30, 2019 – Scholars Trust Retention Model finalized
- October 20-22, 2019 – Analysis reports distributed to participating libraries
 - In-scope, out-of-scope and records with no discernable OCLC #
- December 4, 2019 –
 - Overlap Analysis and Top Three Holder reports distributed to participating libraries
 - Demonstration webinar of the Retention database (<http://www.aserl.org/archive/>)

Retention Nominations

- A title is “nominated” to be retained by the library that has the most complete run from among the top three holding libraries in the analysis.
- Where there is a tie for the top holding library (two or more libraries having equally complete holdings), more than one library may be nominated to retain the title.

Selecting Libraries for Nomination

- **292,930** titles in-scope
 - **263,115** titles held by 6 or fewer holding libraries, ~90%
 - Initial focus on widely-held titles (held by 7 or more ASERL libraries) = **29,815** titles, ~10% of all in-scope titles
- But we excluded:
- Titles with **3 or more copies** retained within Scholars Trust and/or the Rosemont Shared Print Alliance | **9,060** titles
 - Titles where the library owns less than **75%** of the complete run | **3,355** titles

17,400 titles met the initial retention criteria

Opportunities for National Impact

There are 17,400 print journal titles held by 7 or more ASERL libraries. Reaching our minimum goal of 4,500 additional titles could have a significant impact on shared print retention nationwide.

For Scholars Trust and the Rosemont Shared Print Alliance:

- **7,480** of these titles have no retention commitments
- **5,985** of these have a single retention commitment

In PAPER:

- **6,000** of these titles have no retention commitments
- **5,320** of these titles have a single commitment

Next Steps

Deadline for title review: **May 1, 2020**

May be extended as needed

Continue to secure retention commitments
for nominated titles

Update at the ASERL Spring Meeting



Other News