



# Town Hall e-Meeting

Wednesday, October 9, 2019

3:00pm-4:00pm

Welcome!

# Agenda

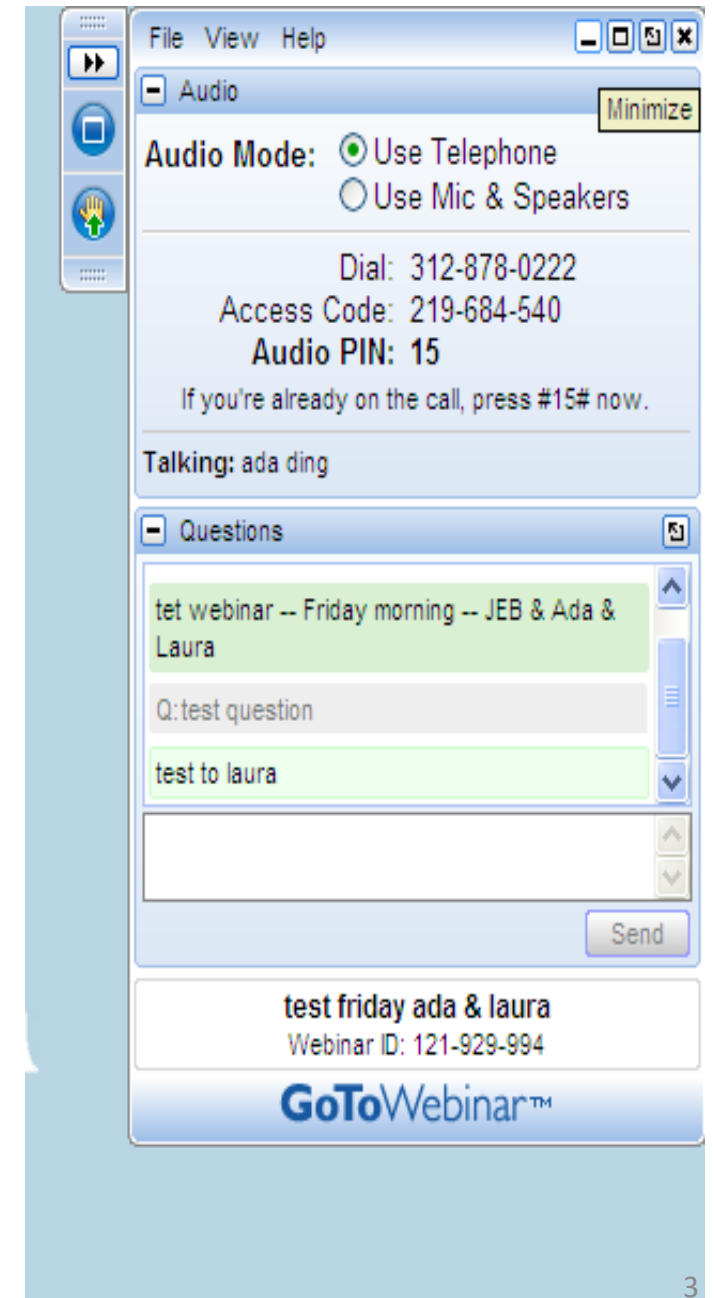
1. Welcome
2. Scholars Trust Program Update
3. ASERL CRL Collection Analysis Update
4. Other News
  - Rosemont Shared Print Alliance
  - OCLC-CRL Mellon Grant
5. Announcements

# USING THE WEBINAR SYSTEM

- **Audio for all participants is automatically muted.**

*You may speak freely with colleagues.*

- **You can ask a question in two ways:**
  - Enter text in “**Questions**” or “**Chat**” boxes
  - **Raise your hand**; moderator will temporarily un-mute your audio connection.



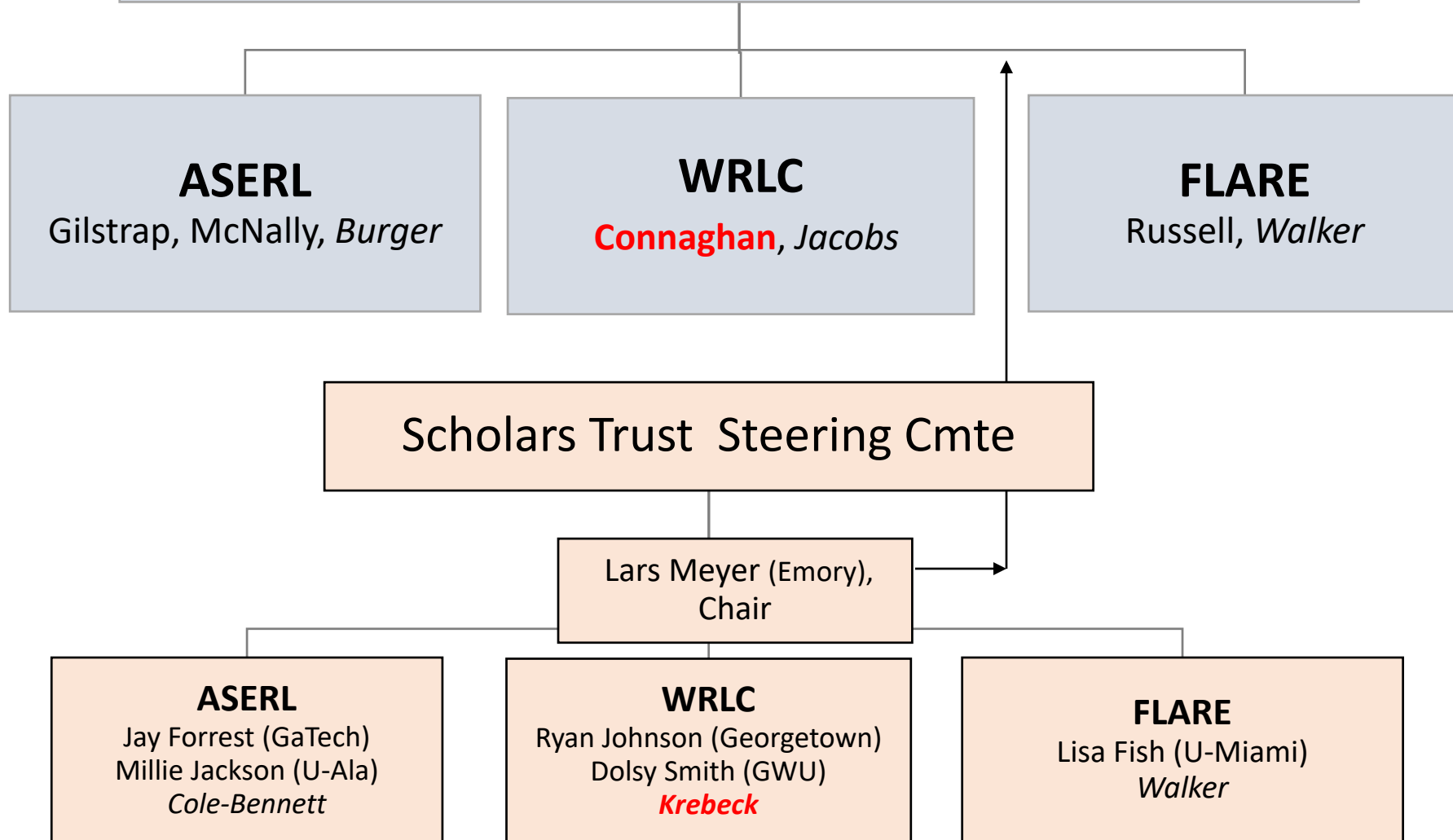


## Program Update

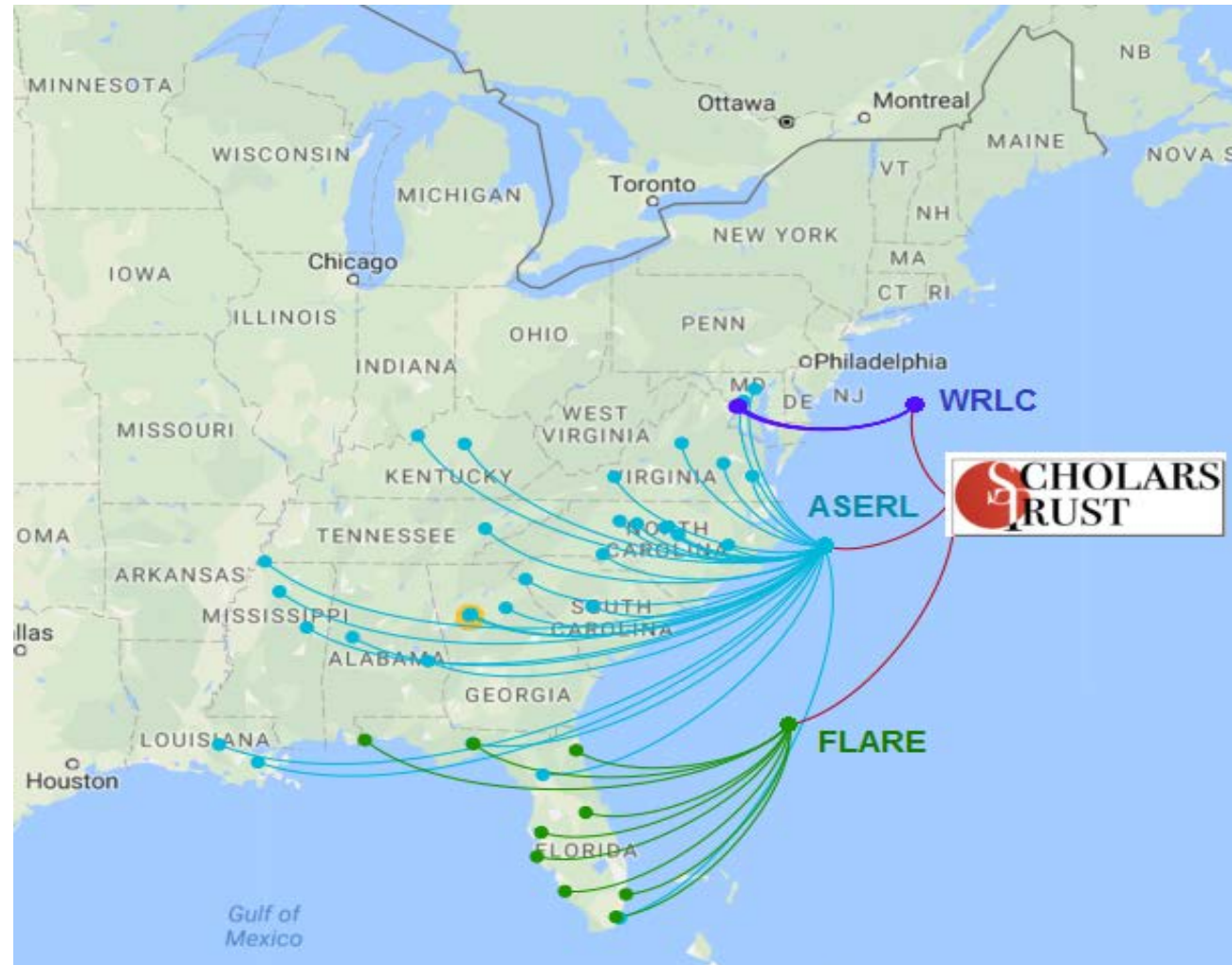
<http://ScholarsTrust.org>

<http://www.aserl.org/programs/j-retain/>

# Scholars Trust Governing Council



52 Libraries  
44,050 JRNL Records | 35,260+ titles



# ASERL Libraries in Scholars Trust

## (7,683 Titles Retained)

1. University of North Carolina - Chapel Hill (Also TRLN), **1,762**
2. Duke University (Also TRLN), **933**
3. GaTech, **493**
4. National Agricultural Library (ASERL Program Affiliate), **488**
5. College of William and Mary, **484**
6. University of South Carolina, **445**
7. University of Florida (Also FLARE), **442**
8. Virginia Tech University, **363**
9. Emory University, **331**
10. North Carolina State University (Also TRLN), **305**
11. University of Kentucky, **225**
12. Wake Forest University, **179**
13. Virginia Commonwealth University, **154**
14. University of Louisville, **149**
15. Tulane University, **149**
16. University of Memphis, **143**
17. University of Alabama, **122**
18. University of Tennessee – Knoxville, **116**
19. Florida State University (Also FLARE), **66**
20. Louisiana State University, **65**
21. Auburn University, **56**
22. Johns Hopkins University, **53**
23. East Carolina University, **49**
24. University of Virginia, **47**
25. University of Mississippi, **28**
26. University of North Carolina – Greensboro, **19**
27. University of North Carolina – Charlotte, **12**
28. Mississippi State University, **3**
29. Clemson University, **2**
30. University of Miami (Title counts included in FLARE)
31. University of Georgia, *No chosen titles*
32. George Mason University, **NEW**
33. Georgia State University, **NEW**
34. Vanderbilt University, **NEW**

# FLARE Libraries in Scholars Trust

(31,776 Titles Retained)

12 State Universities in Florida and the University of Miami

1. Florida Agricultural and Mechanical University
2. Florida Atlantic University
3. Florida Gulf Coast University
4. FLORIDA INTERNATIONAL UNIVERSITY
5. Florida Polytechnic University
6. New College of Florida
7. UNIVERSITY OF CENTRAL FLORIDA
8. University of North Florida
9. UNIVERSITY OF SOUTH FLORIDA
10. University of West Florida



# WRLC Libraries in Scholars Trust

(4,969 Titles Retained)

1. American University
2. Catholic University
3. Gallaudet University
4. George Washington University
5. Georgetown University
6. Howard University
7. Marymount University
8. University of the District of Columbia

# Journal Retention & Needs Listing

## Number of Copies

Duplicates (determined by OCLC Number):		Unique OCLC #	% of total
Records without duplicates	31,526	31,526	72.91%
Records with one duplicate	12,775	6,388	14.77%
Records with two duplicates	9,198	3,066	7.09%
Records with three duplicates	5,620	1,405	3.25%
Records with four duplicates	2,950	590	1.36%
Records with five duplicates	1,368	228	0.53%
Records with six duplicates	196	28	0.06%
Records with seven duplicates	40	5	0.01%
Records with eight duplicates	18	2	0.00%
Records with nine duplicates	0	0	0.00%
Total Records:	63,691	43,238	

Includes records for all shared print programs using JRNL

# Journal Retention & Needs Listing Update

- JRNL Demonstration Webinar - May 15, 2019  
<http://www.aserl.org/archive/>
- Enhancements under consideration:
  - **Gap-filling Functionality**  
Revision to the process/methodology to allow multi-part gap statements (rather than single volume/issue)
  - **Subject Class**  
Add Subject Class to the Bibliographic Record rather than Library's Holding Record (1 Bib record/multiple holding records)
  - **Completeness Field**  
Standardize terminology and use



## ASERL CRL Collection Analysis Update

# CRL Collection Analysis Timeline

- July 1 print serials records submitted
- End of September, delayed
  - CRL reviews and validates bibliographic data against WorldCat and analyzes holdings data provided by libraries to ensure each library has enough data at bibliographic and holdings level to make allocation decisions.
- November - Scholars Trust reviews reports and proceeds with allocations assessments

# DELIVERABLES

- Review, validate, and compare print serial records across Scholars Trust library collections to establish level of overlap and uniqueness within the collection.
- Holdings information about overlap with other collections supports decision making.
  - Number of holding libraries and the name of the libraries that have attached their symbol to the same OCLC record in WorldCat.
  - Number and names of libraries that have registered commitments for these titles in PAPR.

# UPDATE

35 libraries submitted 1,009,439 records

- Smallest submission – 1,220
- Largest submission – 93,161

Most (~99%) of the bibliographic record data gathering and aggregating has been completed. Approximately 2,000 records still need to be checked.

100% of the holdings data related work has been completed.

40,000 records (~4%) with unidentifiable OCLC #s

## **In-Scope Overlap Counts**

1-6 Holders – 238,917 titles

7+ holders - 27,384 titles

## **Out of Scope Reasons**

<b>scope_note</b>	<b>count</b>
Govt pub	121682
Not a journal	1895
Not a serial	24571
Suspected non-print	20924
<b>total</b>	<b>169072</b>

# Collection Comparison Update

org_id	Record Count	In scope	org_id	Record Count	In scope
AAA	48,702	37,710	MUM	25,045	21,390
ALM	33,350	26,279	NDD	87,492	85,424
EMU	52,761	45,717	NEH	1,220	1,203
ERE	9,695	9,303	NGU	10,662	9,907
EWF	10,966	10,847	NKM	5,446	5,132
FTU	17,694	15,179	NOC	93,161	72,989
FUG	76,221	50,072	NRC	33,744	31,606
FXG	28,652	20,255	SEA	21,743	16,765
GAT	13,946	12,486	SUC	49,926	25,912
GSU	21,605	18,654	TJC	24,713	20,424
GUA	60,723	53,161	TKN	38,913	28,921
JHE	34,096	33,952	TMA	10,403	9,740
KLG	19,429	16,427	VA@	25,641	24,731
KUK	26,441	24,043	VGM	15,784	10,353
LRU	19,790	19,516	VIM	1,509	1,137
LUU	43,693	42,237	VPI	26,759	22,229
MFM	18,589	18,258	VRC	14,088	14,080
			VWM	19,324	16,815



# Distributing Institution Reports

- A folder will be created for each participating institution in a Google drive
- A link to access the folder will be sent to the primary contact on file for the ASERL/CRL Collection Analysis
- You can view and download the files in your folder and to share the folder with others at your institution

# Scholars Trust Retention Model

- The Retention Model represents a series of guidelines to be applied when nominating and selecting titles for retention through 2021. Rosemont Shared Print Alliance holdings are considered when applying the retention guidelines.
- Drafted by the Scholars Trust Steering Committee and approved by the Governing Council in May 2019. Presented to the ASERL Scholars Trust libraries for feedback through August 2019.

Available at: <http://www.aserl.org/programs/j-retain/>

# Managing Title Allocations/Nominations

- Titles will be nominated for retention based on criteria outlined in the Retention Model, from titles held by 7+ libraries participating in the project
- ASERL Scholars Trust Retention Database – *in testing*
- Scholars Trust will seek to secure retention commitments for **4,500** additional titles over the next two years.

# CRL Member Webinar

Shared Print: Scholars Trust Collection Analysis  
September 25, 2019

[https://www.youtube.com/watch?v=eY9\\_aTKE0tU](https://www.youtube.com/watch?v=eY9_aTKE0tU)



Other News



# ROSEMONT

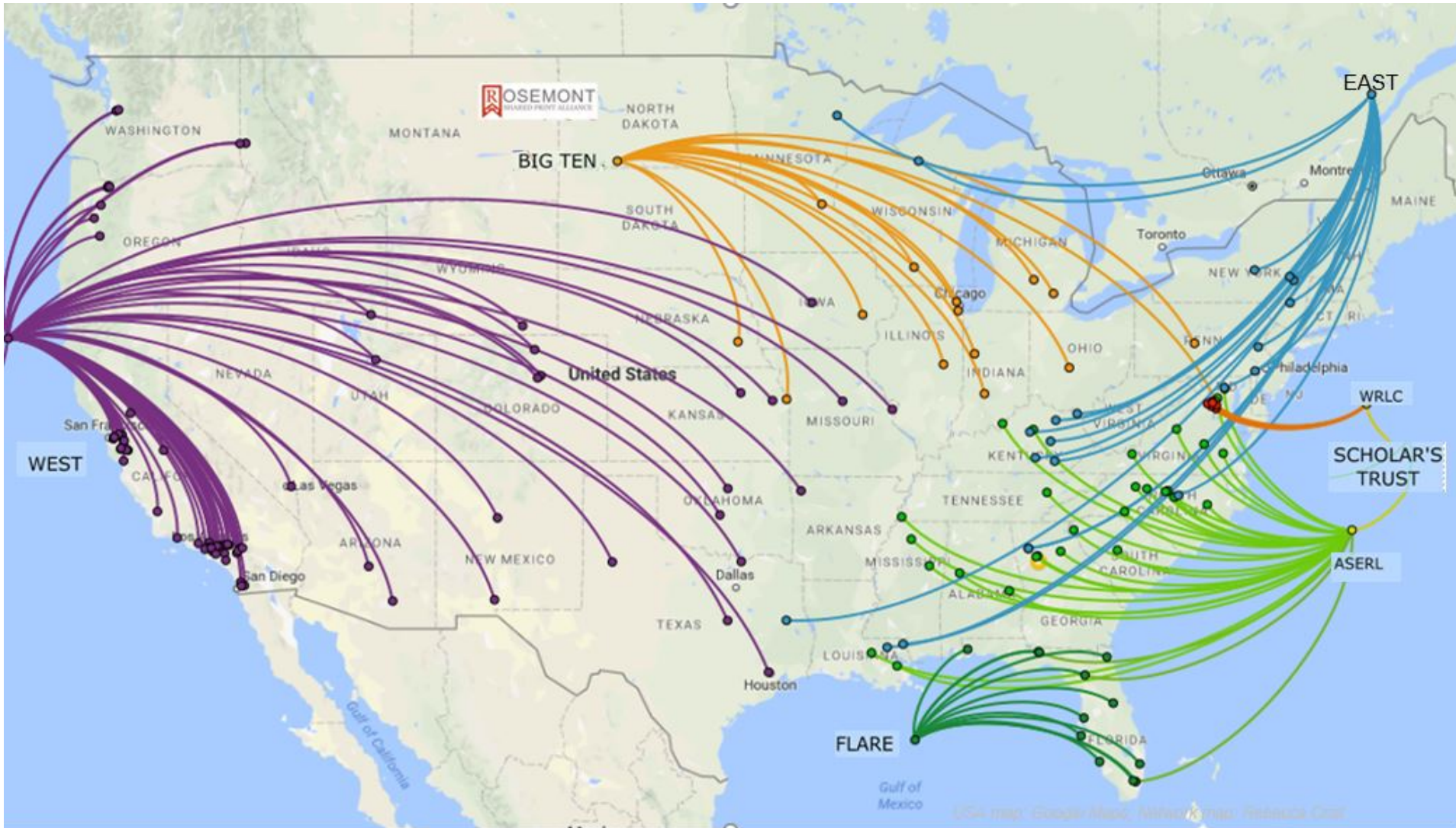
SHARED PRINT ALLIANCE



**FL**orida  
**A**cademic  
**RE**pository



# About 180+ U.S. Institutions



# Collection Growth: New titles

Tracking collection growth:

- New Titles--unique titles with up to 3 copies
- Total Unique Titles across our programs
- Total Titles across our programs
- Unique titles that have reached the goal of 3 copies
- Last/scarce copies

Since its founding in May 2017, the Rosemont Alliance has added more than 30,000 journal titles to our collective collections for a current total of over 93,000 retained titles.



# Last Copy Principles/Donations Guidelines

Participants in the Rosemont Shared Print Alliance seek to ensure that last or scarcely held print journal titles are preserved and accessible for future scholars.

## Last Copy Principles and Guidelines

### Guiding Principle

Participants in the Rosemont Shared Print Alliance (RSPA) seek to ensure that last or scarcely held print journal titles (i.e., titles with three copies or fewer held by libraries in the Rosemont Alliance) are preserved and accessible for future scholars. This may include retention by the library that owns the last or scarce title or transferring the material to a participating storage facility or institution that is willing and able to retain it under RSPA guidelines.

Libraries in the RSPA are not required to follow the last copy guidelines for material considered out of scope for Rosemont retention. Examples include government documents, monographs, non-print formats, handbooks, indexes, yearbooks, and non-circulating material.

**Definition of a Last or Scarce Copy:** A last or scarce copy is a title for which only one, two, or three copies are found in OCLC WorldCat to exist among RSPA participating libraries.

### Collectively, RSPA participants agree to the following principles:

1. To exercise due diligence in determining scarcity of print journal titles in their collections through WorldCat or other group analytics.
2. If retention in place is not possible, libraries should consider transfer of the retained material to another institution within the home shared print program (e.g. WEST, FLARE, etc.) following local program policies and procedures.
3. If retention is not possible in the local program, to donate any last/scarce holdings to a willing RSPA institution where they will be retained for the duration of the recipient's retention period. [See Donation/Transfer Guidelines].
4. To manage print journal holdings in accordance with the RSPA policies and principles as specified on the RSPA website (insert link(s) to policies/principles).
5. To retain the last or scarce copy for the duration of the RSPA participant's shared print program's retention period.



## Guidelines for the Donation/Transfer of Print Journal Titles

Rosemont Shared Print Alliance participating libraries seek to ensure that print journal titles are preserved and accessible for future scholars. The following guidelines pertain to retained materials or materials which have been identified as rare or scarce. These guidelines are to be used when reallocation of material within the home shared print program is unsuccessful.

To that end, **Rosemont participating libraries agree:**

1. To retain selected print journal titles in place for the duration of the existing retention period; or
2. If retention in place is not possible, libraries should consider transfer of the retained material to another institution within the home shared print program (e.g. WEST, FLARE, etc.) following local program policies and procedures. If transfer within the home shared print program is not possible, materials may be donated or transferred to a participating Rosemont Shared Print Alliance storage facility or willing institution, where it will be retained for the duration of the recipient's retention period.
3. To manage the holdings according to the Rosemont Shared Print Alliance's [operating policies and principles](#).

## Donation/Transfer Guidelines

These guidelines should be followed only when an attempt to donate or transfer material within the shared print home program has been unsuccessful. When a library donates or transfers a copy to a Rosemont Shared Print Alliance storage facility or willing institution, the library shall:

1. Complete a [donation form](#) and submit it to your local program to bring to Rosemont. Rosemont will act upon these requests within 60 calendar days.
2. Ensure that volumes donated are complete or that gaps are noted in holdings statement on the donation form.
3. Ensure the material is in good condition, and free of mold, mildew, dust, and insects. If material is in poor condition or is a reprint, please note this on the donation form.
4. Donate/transfer all holdings and relinquish ownership to the receiving institution or parent organization of the storage facility; or
5. If donation/transfer is not possible, negotiate a long-term loan with the receiving institution or parent organization of the storage facility.
6. Donating Library Shall: Withdraw the holdings from the local catalog<sup>1</sup>, and remove retention commitments in shared registries (e.g. PAPR) as per guidelines used in the home shared print program.  
Receiving Library Shall: Facilities which receive the material will be responsible for re-registering the commitment upon receipt of the material in consultation with the donating library.
7. Pay for shipping, unless otherwise arranged.

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<sup>1</sup> Metadata on long term loans may be kept in local catalogs as per local practice.

# A Decision Support System

Ability to analyze and track print journal collections holistically, in order to accelerate the pace of retention commitments.

- Identify the extent of in-scope titles among our programs and secure retentions to those titles
- Identify and target three copies
- Identify last or scarce copies; secure retentions for those titles and flag as last or scarce
- Provide reports and tools to support:
  - Collection comparison
  - Needs & offers process to fill gaps
  - Exposing retention commitments and the environment under which they are held
  - Tracking collection growth

# Decision Support Systems Scenarios

- Develop functional requirements/workflows using existing capabilities (AGUA, JRNL, CRL, PAPR, Data Visualization, etc.)
- Investigate gap analysis capabilities of existing software; and
- Diagram dependencies, overlap, and potential to connect existing tools.

# Partnership for Shared Book Collections

- Summits hosted by EAST in April and December 2018
- 22 shared print programs in the US and Canada were represented
- Over 40 million monographs currently committed
- Aim to be a federation of shared print monograph programs to coordinate collaboration across the programs to support cost-effective retention of and access to print book collections.

# Partnership for Shared Book Collections

- **Vision**

Ensure the long-term preservation, accessibility and integrity of monographic print resources.

- **Mission**

Coordinate collaboration among shared print monograph initiatives and collections in North America to support cost-effective retention and access to shared print monograph collections.



# Partnership for Shared Book Collections

- Working Groups
  - Governance and Membership
  - Services and Business Model
  - Outreach and Engagement
  - Risk Analysis
  - Best Practices
  - Open Retention Data
- Announce formation of the Partnership at ALA MW



OCLC/CRL

# OCLC/CRL Mellon Grant 2018-2020

\$1,001,000 shared print data infrastructure project to modify OCLC WorldCat and CRL's PAPER Registry to enable registration of print serial retention commitments and make archived holdings data available to inform library decision-making.

## Highlights:

- A centralized system for registering serials commitments in WorldCat and systematically syncing to PAPR
  - Opportunities for enhanced workflow (WC/PAPR/JRNL)
- Batch creation of Shared Print retention commitments
  - User and/or Agent Registration
- Eliminates the need for an OCLC second symbol
  - 92 symbols with 2,225,765 LHRs
- Discovery in OCLC Record Manager and Connexion

# Data API

- Search WorldCat and retrieve bibliographic and holdings detail for cataloged serials retention commitments
- Find libraries that own an item and retrieve links to online catalog records when available

# Announcements