

# Monographic Collections Analysis Webinar

Anne Osterman, VIVA Director, and Genya O’Gara, VIVA Associate Director

Presented for ASERL

September 13, 2017



# Background

- VIVA Steering Committee
- Collections Committee
  - Initial Analysis
- Monographic Collections Analysis Task Force
  - 12 partners
- Distributed print participants
  - 8 partners



# Analysis Partners



## Sustainable Collection Services

Data-Driven Deselection



# Goals

- A consortial approach to collection assessment
- Use data and analysis to inform future, collaborative collection development
- Identify scarcely-held titles in need of protection
- Discuss approaches to reducing consortial title duplication and local space savings through weeding

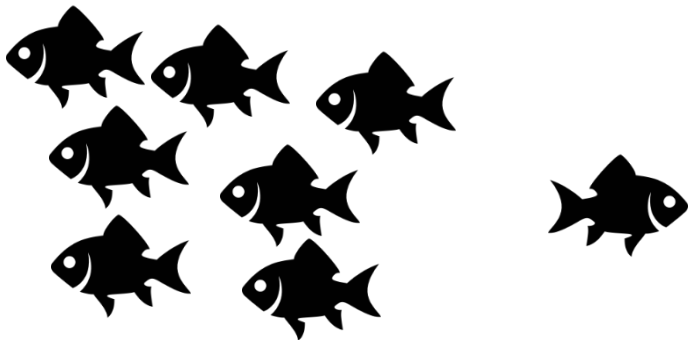


# Different Approach

Not just about weeding and preservation!

**Primary** interest was to inform collection development.

Use the analysis to figure out the “where’s” and “how’s.”



# What Was Included in the Analysis?

- All circulating print monographs for 12 participating institutions
- English Language only
- Main libraries only
- LC classed
- 5.8 million records



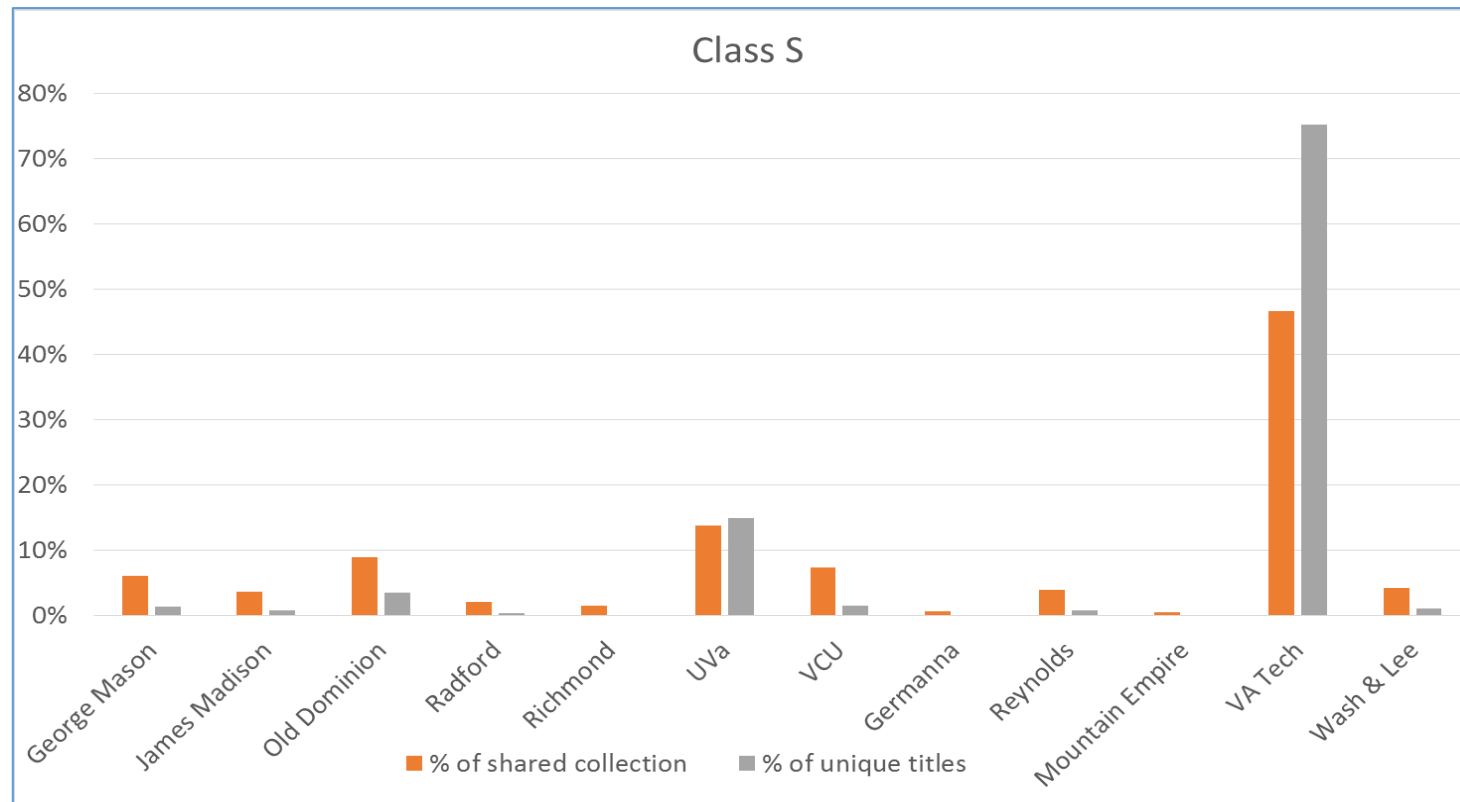
# Examined

- Widely held and recently circulated titles
- Scarcely held titles
- Usage and holding patterns within VIVA by publisher



# Identified

- Parameters for scarcely held titles and approaches for protecting them
- Local disciplinary strengths, based on subject distribution and percentage of unique titles, to inform collaborative collection development





# Data Driven Roadmap

## 1. MOU for over 70,000 rare titles

- Defined as fewer than 10 holding libraries in the United States
- Reviewed for “junk” before title list was finalized

## 2. MOU for retention of widely-held monographs

- Limited to the eight institutions willing to retain copies



# Focus in on the Widely Held MOU

- Two primary goals of this MOU:
  - Create and maintain a distributed, shared collection of these titles
    - 1-2 copies of all titles were “not safe to weed”
  - Responsibly reduce the size of local print collections
    - Over two million copies were “safe to weed”



# Focus in on the Widely Held MOU

- Key decisions:
  - Shelf check? No.
  - OCLC integration? It wasn't ready yet.
  - Retention allocation? By size and subject preference.



# Focus in on the Widely Held MOU

- Requirements of the MOU:
  - Retain the Repository Copies until June 30, 2025.
  - Lend the Repository Copies to VIVA libraries.
  - Follow normal workflows and procedures with respect to new editions of Retention Copies.
  - If a Holding Library wishes to withdraw a Repository Copy prior to the conclusion of the Term of this Agreement, it will offer the copy to other VIVA Holding Libraries.
  - Review this agreement in 2020.



# Data Driven Roadmap



## **3. A voluntary recommended threshold of four for new print purchases in the state**

- Alleviates "just-in-case" purchasing
- Saves money for local collection priorities
- YBP's GobiTween facilitates real time acquisitions

## **4. E-book acquisitions with publishers that have demonstrated that they are widely held and highly used**

- Used in successful ebook negotiations with many publishers
- Resulted in over \$14.6 million in cost avoidance for the state



# Focus in on the Use in Negotiation

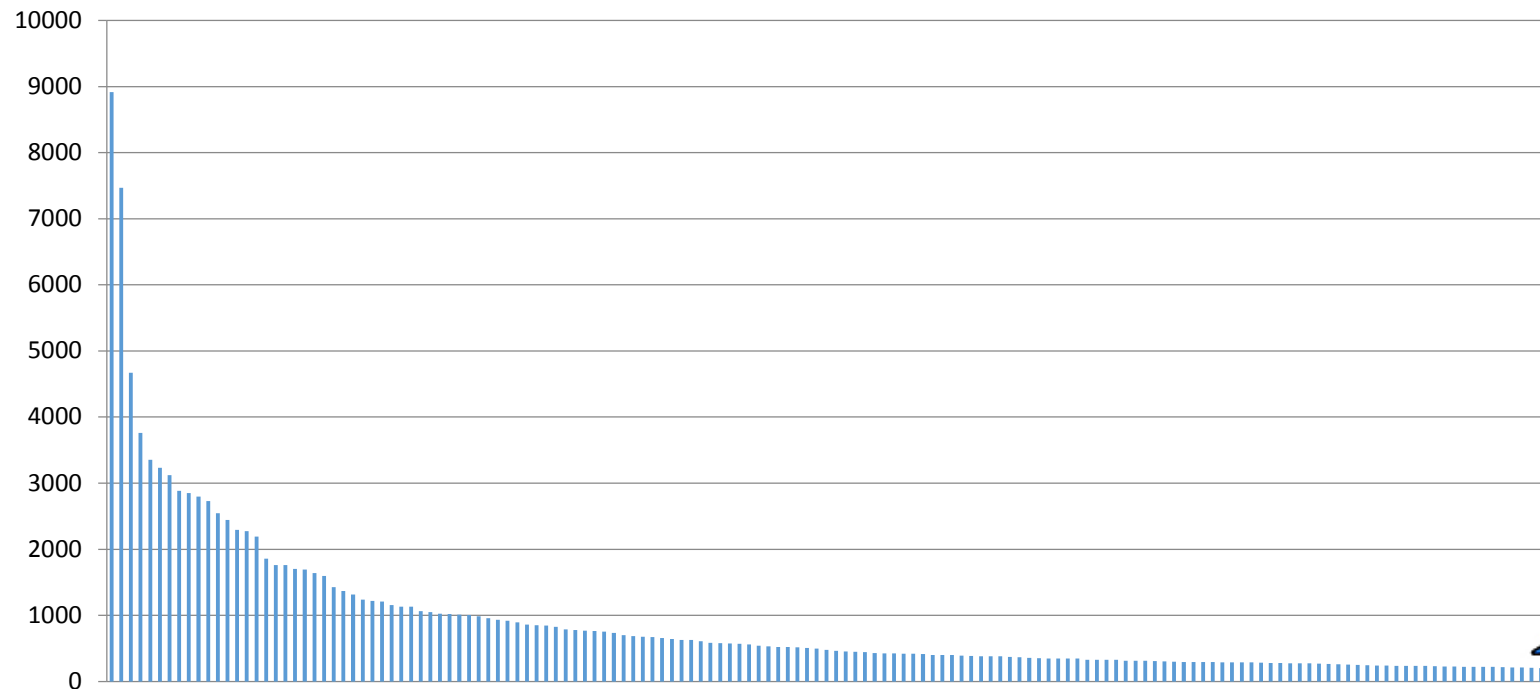
- Generated a list of books that were owned by ten or more VIVA libraries, had more than ten recorded uses, and had a last charge date after 2007
- This resulted in a list of just over 175,000 “widely held and highly and recently used” titles
- Matched the ISBNs to a standardized list of publishers, with over 3,200 publishers successfully matched



# Focus in on the Use in Negotiation

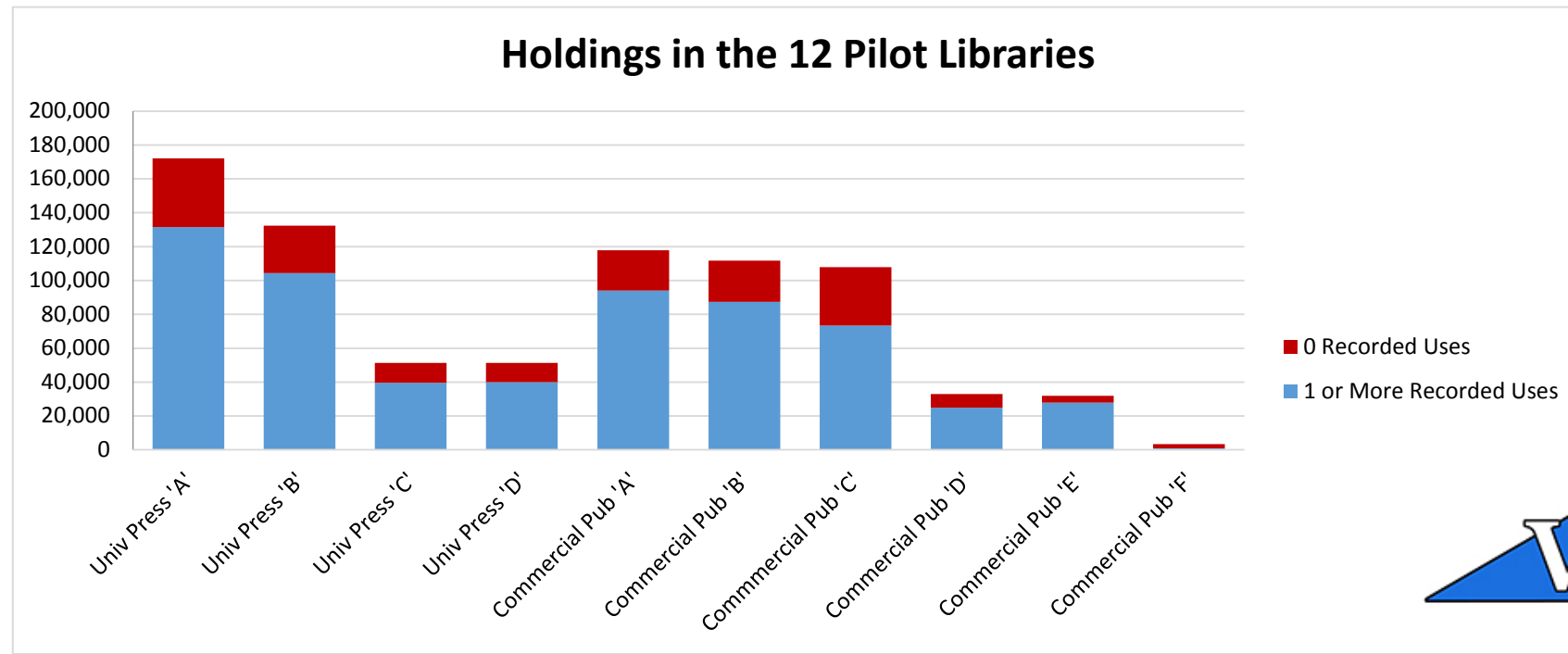
- Only around 150 publishers had more than 200 titles in the list, fewer than 40 had over 1,000 titles, and only seven had more than 3,000 titles

Title Count by Publisher (With >200 Titles Included in the Set)



# Focus in on the Use in Negotiation

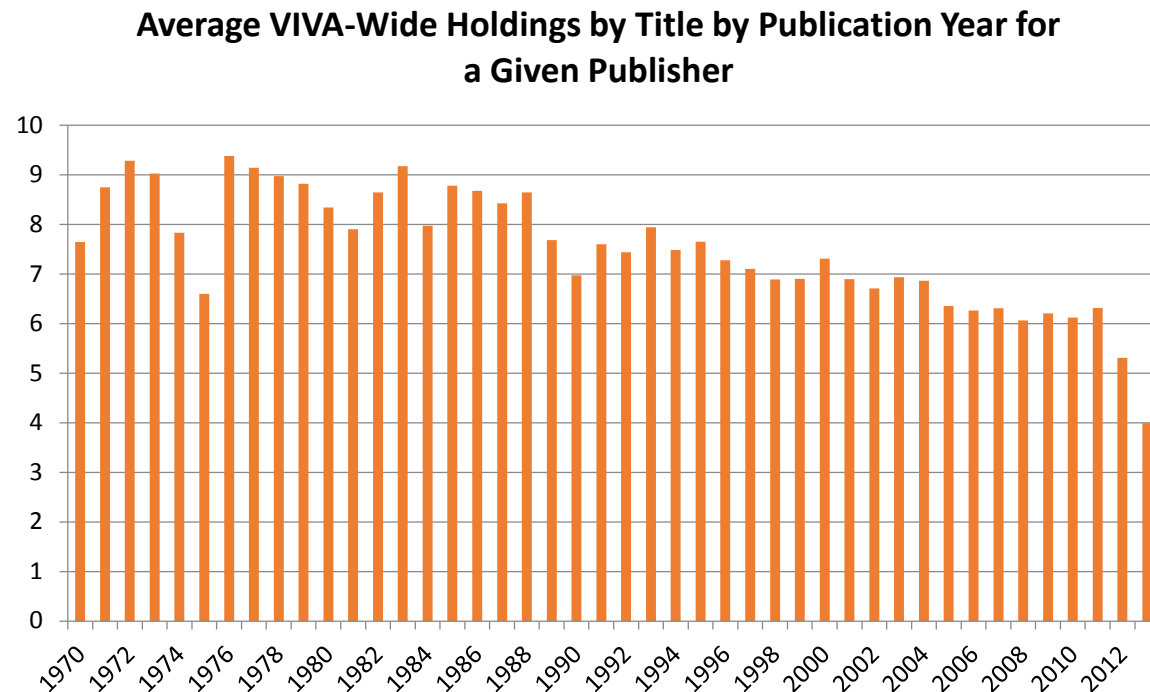
- The full data set (5.8 million records) was then queried by keyword in the publisher field for the top ten publishers of interest to the consortium to look more closely at holdings and usage





# Focus in on the Use in Negotiation

- The VIVA-wide data aided negotiations with publishers about shared purchases because it could show how many copies have historically been held by the full consortium in print



# Tools



# Tools: Project Website

- <http://vivalib.org/committees/collections/monographiccollectionanalysis.html>



# Tools: GobiTween


## GobiTween

Information shown below combines activity for all bindings and places of publication for this title

**Title:** ALL THE FACTS: A HISTORY OF INFORMATION IN THE UNITED STATES SINCE 1870.  
**Author:** CORTADA, JAMES W    **ISBN:** 9780190460679  
**Publisher:** OXFORD UNIVERSITY PRESS    **Pub Year:** 2016    **Binding:** Cloth

### - VIVA

		Approval Plans				Orders			
		Book	Return	Slip	Block	Selected	Open	Filled	Series
VIVA (Shared):	Electronic								
	Print								
<b>Total for Group:</b>									
GEORGE MASON UNIVERSITY	Electronic								
	Print			2					
COLLEGE OF WILLIAM AND MARY	Electronic			2					
	Print								
NORFOLK STATE UNIVERSITY	Electronic								
	Print			1					
OLD DOMINION UNIVERSITY	Electronic								
	Print			1					
UNIVERSITY OF MARY WASHINGTON	Electronic								
	Print			1					
UNIVERSITY OF RICHMOND/LAW	Electronic								
	Print			1					
VIRGINIA COMMONWEALTH UNIVERSITY	Electronic								
	Print	1							
VIRGINIA STATE UNIVERSITY	Electronic								
	Print			1					
WASHINGTON AND LEE UNIVERSITY	Electronic							1	
	Print			1					
<b>Total for Members:</b>		<b>1</b>		<b>11</b>				<b>1</b>	



**Title:** ALL THE FACTS: A HISTORY OF INFORMATION IN THE UNITED STATES SINCE 1870  
**Author:** CORTADA, JAMES W  
**Publisher:** OXFORD UNIVERSITY PRESS  
**Pub Year:** 2016    **ISBN:** [9780190460679](#)  
**Binding:** Cloth  
**Content Level:** GEN-AC  
**LC Class:** ZA3072.U6C67 2016  
**Subject Headings:** INFORMATION RESOURCES--U.S.--HIST.  
**YBP Interdisciplinary Topics:** Historical  
**Approval Note:** AUTH: UNIVERSITY OF MINNESOTA.  
**Lists:** YBP Core 1000: Library Science. 06/30/2016  
**Geographic Focus:** US  
**Language:** English  
**US List:** 99.00 USD ▼    **US Status:** In Stock  
**Handled On Approval YBP-US:** 4/20/2016    **UK Status:** In Stock  
**UK List:** 64.00 GBP ▼  
**Est. US Net:** 78.70 USD ▼  
**Added To List:** 4/26/2016  
**Slip Date:** 4/20/2016  
**Library Note:** [Add...](#)

[slip sent \(8/3/2016\)](#)  
[GobiTween \(2 Books/11 Slips/1 Shared Book\) ▼](#)  
[Peer \(6 Books/16 Slips\)](#)  
[alternate editions \(1P/2E\) ▼](#)  
[library activity \(81 sold\)](#)

[table of contents](#)

2 copies



# Tools: Searchable Protected Title Database

- <http://www.vivalib.org/committees/collections/protectedtitles.html>

## Protected Titles: Monographic Collection Analysis Project

Use this search to find titles protected by the [memorandum of understanding for the widely held materials](#), a project that grew out of the [Monographic Collection Analysis Project](#).

Search is not case sensitive.

A match will be made if the string you enter is found *\*anywhere\** in the given field.

Results are sorted by title, then by library.

If exported to CSV, filename will be your *VIVA\_RETAIN-YourSearchTermWithSpacesRemoved.CSV*

Search in  Title or  OCLC number or  ISBN field.

String to search:

Submit



# Other Tools

- Also available on the website:
  - The Memoranda of Understanding
  - Holdings threshold FAQs
  - A Widely Held Retention Commitments process document



# Project Results

- A vision for a shared collection
  - Cultural shift – beginning to think of VIVA as one collection (*with many local personalities*)
- Clearly defined, implementable initiatives
- Negotiation strategies for ebook collections that relied on analysis data
- Identified future consortial collection development opportunities



# Challenges

- Shifting institutional priorities
- Shared storage
- OCLC shared print registration
- GOBI & OASIS
- No shared catalog or discovery
- Refreshing the data?





# Successes

- Non-participating institutions are using the data!
- Distributed “one collection” with no additional infrastructure
- Low-barrier title retention and MOUs
- Analysis used successfully for negotiations of ebook collections
- Furthered other initiatives – including whole ebook loaning and cooperative borrowing



# Questions?



More info:

<http://www.vivalib.org/committees/collections/monographiccollectionanalysis.html>

or email:

[viva@gmu.edu](mailto:viva@gmu.edu)



Thank you!



# Image Credits (All Noun Project)

- Books: Jakub Caja, CZ
- Bar Chart: Chameleon Design, IN
- Collaborative Learning: Duke Innovation Co-Lab, US
- Fish: Paul Smile
- Handshake: Gregor Cresnar
- Map: factor[e] design Initiative, CA
- Question: Gianluca Lanzetta, IT
- Search: Juan Carlos Altamirano, EC
- Stairs: Katie Westbrook, US
- Toolbox & Tools: Ralph Schmitzer, Frankfurt, Germany
- Hand Raise: George Patterson, US
- People: Wilson Joseph

