



ASSOCIATION OF SOUTHEASTERN RESEARCH LIBRARIES

Steering Committee Conference Call Notes

## ASERL Collaborative Federal Depository Program

October 30, 2013 -3:00pm EST

### Attending:

Stephanie Braunstein, Louisiana State University  
Mary Clark, Chair, Library of Virginia  
Cheryle Cole-Bennett, ASERL  
Chelsea Dinsmore, University of Florida

David Durant, East Carolina University  
Sandee McAninch, University of Kentucky  
Judy Russell, University of Florida  
Bill Sudduth, University of South Carolina

### AGENDA

#### Update on the Department of State Project

Barbie Selby (University of Virginia) is preparing records of her DOS collection for uploading to the ASERL COE database. Her cataloging department is nearly complete with editing the records for the load. Cheryle Cole-Bennett has contacted Vanderbilt University for an update on the load of their DOS materials but has not yet received a reply.

Discussions are currently underway with HathiTrust to obtain additional DOS records as part of the Government Documents Registry project.

#### HathiTrust Registry of Gov Docs

Cheryle gave an over-view of the HathiTrust Government Documents Registry project to identify the total corpus of US federal government publications. Within the next month, HathiTrust will conduct a national call for institutions to share brief cataloging records for their government documents to assist in the development of the Registry. The project will also compare contributed content for digitized materials against HathiTrust holdings to identify the portion of government documents which have yet to be digitized. ASERL is working with HathiTrust to obtain copies of the contributed records for use in the ASERL COE database for gap analysis and to further enhance the review of the Department of State collection.

There was some question regarding HathiTrust membership and access to the materials. Judy Russell noted that documents in the HathiTrust are presumed to be in the public domain and thus available to the general public.

#### COE Gap Database Enhancements

Cheryle reported on a couple enhancement recommendations to the COE gap database to make it easier to use and to better accommodate records obtained through the HathiTrust project. The database currently makes use of some non-standard Marc fields and the required formatting of records can be a barrier to participation on a larger scale.

#### Recommendations:

- Enhance access to the COE database. With the anticipated infusion of records from HathiTrust, the COE database will be a more effective tool for gap analysis of government documents collections. To enhance access, it is recommended that the COE database be more closely associated with the more

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popular Disposition database. Both databases use the same GoogleID authentication login process. While the two systems cannot interact with each other, by adding the COE database as a “tab” option within the Disposition database a user can access both tools with a single login point (toggling between the two databases through the use of the tab feature). Committee members agreed to this recommendation.

- Change the field location of the OCLC number. The COE database currently uses the 001 for the OCLC number. While some libraries use the 001, many use the 035 for storing this information. To reduce the need to edit existing records, the recommended change is for the database to first use the 035 (a more common placement) and if no data is present, to look for the data in the 001. Committee members agreed with this recommendation.
- Eliminate use of the 909 Agency filter. Use of the 909 field as an agency filter places a burden on the importing library and creates an undue limitation at import. If assigned incorrectly or not fully, the item will not be displayed correctly in the gap reports. In reviewing the process for applying the filter, most users relied on the SuDoc classification number to assign the agency. As such, requiring the user to assign an arbitrary filter when one is already available (the SuDoc) is an unnecessary step. The recommended alternative is for a COE to define a profile for their collection (SuDoc#, keyword, etc.) against which the analysis is determined. Committee members agreed with this recommendation. The level of complexity in implementing this change is proportional to the number and complexity of the parameters used to develop the profile (key words, dates, sudocs, formats, etc.) To test this model, Cheryle has asked the grant COEs to develop profiles for their agencies, defining the necessary parameters to do so. This information will be provided to Winston Harris at UF to do a cost estimate for the change.
- Remove all “conditions” filters until which time they can be effectively applied. Currently, the conditions field (583) is free text and as such, is difficult to use as a filter. Additionally, many libraries have not yet cataloged their materials to the level where conditions statements are an effective filter (it had originally been hoped for the database to aid the user in identifying an item in “better” condition). While the conditions report is not useful for identifying the condition of items, it is worthwhile for identifying matched items within the database. As such, it is recommended that the title of the report be changed to “Matched Holdings Report”. From within the report, a user can drill down to the item record to determine if any conditions statements have been used and/or contact the owning library directly to determine quality. It is further recommended that the Report Search screen display be edited to remove all references to conditions. Removing them from view does not remove the search feature from the database should we wish to add this feature back in the future. Committee members agreed with these recommendations.
- Remove the “format” filter until which time it can be effectively applied. The format field (245) is also a free text field, and as such is not an effective filter. Until which time this field can be updated, it is recommended that the format filter option be removed from the Report Search screen display. Committee members also agreed with this recommendation.

#### Disposition Database – Edits

Cheryle reported that Winston (UF) anticipates the enhancement which allows a user to edit their offers to be released in mid-December 2013.

#### SELA Program – Bill Sudduth

Bill reported on a program he is presenting at the South Carolina Library Association/Southeast Library Association joint conference in mid-Nov with a theme of “Local Roots, Regional Reach.” The program will discuss how the Disposition database is helping libraries manage and grow collections.

#### COE Environmental Scans

Cheryle reported that she has completed 13 surveys with 7 additional scheduled. Some institutions have yet to schedule. Surveys are to be completed by November 8<sup>th</sup>. The FDLP Steering Cmte is also conducting a similar survey with Deans/Directors – also to be completed by November 8<sup>th</sup>.

Reschedule of December 25<sup>th</sup> meeting  
Wednesday, January 15<sup>th</sup> at 3pm Eastern

Meeting adjourned at: 3:50pm

Next conference call is **Wednesday, January 15, 2014** at 3:00pm Eastern