



ASSOCIATION OF SOUTHEASTERN RESEARCH LIBRARIES

## Meeting Minutes

### Spring 2011 Membership Meeting

April 26 - 27, 2011

Nashville, Tennessee

*Tuesday, April 26, 2011*

**Welcome & Introductions** – John Ulmschneider, ASERL President called the meeting to order at 1:02p, CT. John welcomed newly-appointed deans Tyler Walters (Virginia Tech) and Bob Fox (U-Louisville) and Pat Steele from U-Maryland, who is attending the meeting at the Board's invitation. Other participants introduced themselves. *(See participant list on last page.)*

### **Presentation/Discussion: Collection Management in a Cloud Environment – Constance Malpas, OCLC Research & Bill Walker, University of Miami**

*See Constance Malpas' PowerPoint presentation on ASERL website.*

Bill Walker introduced Constance Malpas and indicated why they had been interested in working with OCLC on this project. He mentioned that Miami is a young institution, only fifty years, and as a result they did not have the chance to collect some of the older materials, but they still bemoan the cost of storing what they do.

This presentation focused on managing emerging infrastructure and shared print service provision from an ASERL perspective. She referred to a number of whitepapers and reports:

1. A Master Plan for Higher Education in the Midwest

[http://www.thechicagocouncil.org/Userfiles/File/Globalmidwest/A\\_Master\\_Plan\\_for\\_Higher\\_Education\\_FINAL.pdf](http://www.thechicagocouncil.org/Userfiles/File/Globalmidwest/A_Master_Plan_for_Higher_Education_FINAL.pdf)

2. A Master Plan for Higher Education in California

3. Rethinking the boundaries of the academic library

<http://www.oclc.org/nextspace/017/research.htm>

4. HathiTrust from Inside Higher Ed

[http://www.insidehighered.com/news/2011/03/16/libraries\\_collaborate\\_to\\_better\\_use\\_their\\_print\\_collections](http://www.insidehighered.com/news/2011/03/16/libraries_collaborate_to_better_use_their_print_collections)

Shared print is a prime example of a core operation that is moving outside institutional boundaries—University of California; Orbis Cascade; TRLN—and also happening worldwide. The shift in scholarly attention from print to electronic means low use retrospective print collections are perceived to deliver less library value. Competing demands for library space: teaching, learning, collaborative research versus warehouse of books. OCLC Research indicated that North American academic libraries had 70 million volumes in storage in 2007.

Shared Print value propositions:

1. Ensure long term survivability of last copies and low use print journals and books—extension of traditional repository function; limited motivation to subsidize.
2. Enable reduction in redundant inventory for moderately and widely held titles.
3. In 25 years we have created 68 high density storage facilities housing about 100 million volumes; only two are addressable in WorldCat

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[www.aserl.org](http://www.aserl.org)

4. Among nine ASERL storage sites studied, 80% of the monographic titles were single copies.
5. Within just a few years HathiTrust Digital Library will approach the size of Harvard's collection and a soon after it will rival the size of the Library of Congress.

Academic print book collections are already substantially duplicated in mass digitized book corpus and are growing rapidly and can serve as a surrogate resource. The HathiTrust mirrors print collections in percentage makeup of the collection; 50% of titles are widely held, although only 15% of the collection is only held by five or fewer libraries. 80% of the titles are under copyright protection and could not be accessed without a license.

Within the next five to ten years, the focus of shared archiving provision will shift. A small number of print repositories may suffice. Generic services are needed to achieve economies of scale. Fuller disclosure of storage collections is needed to judge capacity of current infrastructure

What criteria matter most in assessing potential shared print partners? Geographic proximity, institutional governance; scope of collection; or delivery guarantee?

Comments:

- Bill Walker—six years ago, if faculty were told that they were going to de-accession part of the collection, there would have been revolt; a recent occurrence forced the Library to put a lot of the collection in boxed storage—over a million volumes were stored, and only seven items could not be filled thru ILL.
- Patricia Steele—librarians need to start the dialog with the faculty.
- Connie Dowell—how much are we held hostage by statistics and rankings?
- Deborah Jakubs—RLG's Conspectus only nibbled around the edges.
- Lance Query—real question for him—do we have free riders? What about the non flagship universities—what will happen to them?
- Bonnie McEwan—can we identify those seven volumes that they are going to need?
- Larry Boyer—remember PR needs; faculty have to be convinced this is appropriate.
- John U—do we have data on how much of scholarly research monographic publishing is being done electronically? (Constance—still lagging but thinks this will change quickly.)

**Presentation/Discussion: Knitting Together Regional Efforts in Print Retention Lizanne Payne, consultant & Judy Russell, University of Florida**

*See Lizanne's PowerPoint slides on ASERL website.*

Characteristics of Most Shared Print Archives

- Retention agreement—commitment and time frame
- Selection Criteria—retrospective or prospective
- Validation Standards—review for completeness & condition
- Archiving Location & standards
- Consortial efforts by selection type
- Shared storage copy
- Library nominated titles
- By publisher
- By format
- Other
- Who supports the costs?
- Overhead
- Fixed costs
- Activity cost

Some Common Practices in Print Archive programs

Costs: Most consortia absorb their own costs; little money changes hands. A few have a “rent party” model, that is, everyone pays a little to the host.

Access: Dark or light; members have special access or not? Often smaller institutions prefer to pay to play because it gives them some leverage with their faculty for weeding, etc.

What’s the effect on collections: Ownership remains with the original owner; no longer part of public ARL member index. Starting in 2005, ARL no longer uses volume count in member index, but count library expenditures—emphasizes resources.

What can be deselected? What’s in existing archives is not “publicly known” except for the U-California storage.

CRL promotes “Shared Print Archives: Getting to Scale.” This includes community forum (priorities & standards/best practices) and Information Infrastructure (resource sharing, decision support tools & archives registry)

CRL is also working with OCLC WorldCat to provide a means for disclosing archiving agreements at the volume or item level. Lizanne believes one of the big factors for acceptance of the print archives/print withdrawal will be ready availability of print on demand services.

### **Presentation/Discussion: Next Steps – ASERL’s Journal Retention Agreement Aisha Harvey, Duke University & Lynn Sutton, Wake Forest University**

*See the ASERL Collaborative Journal Retention Program Agreement on ASERL’s website.*

This Agreement was drafted about a year ago; has been reviewed/refined by Interim Steering Committee (ISC) since then. This will be a light archive; many ISC sites were willing to retain copies of journals but not everyone has secured facilities/closed stacks

- At this time nearly a 1000 titles (42,000 volumes) have been committed for retention—just the tip of the iceberg.
- Need a more robust strategy to provide a priority to titles; Aisha pointed out that of the 800 titles provided by Duke, the majority of them are chemistry related, this is because of the decision to close the chemistry library and the librarian wanted to protect her titles before they were sent to storage.
- ASERL members voted unanimously to endorse the Agreement.
- Judy Russell asked if we could continue the discussion on the question of whether ASERL would commit to a JSTOR collection.
- Lance—should we as deans and directors expand the charge to include advice on retention and divestiture
- Bonnie—similar to the era when we were first dealing with licenses and agreements; do I sign it or does someone else on my campus need to sign it? Judy noted that in Florida MOU’s like these would be signed by the Provost on their campuses.
- Aisha—Many libraries are already divesting, based on the assumption that the larger libraries are retaining copies—is this true? She suggested a mapping strategy so that people could see what the options were. According to Lizanne Payne this is what the West consortium is doing.
- John U—What do we communicate to the Steering Committee; Sarah asked for a map, so they could step up. Aisha wondered if some of the schools are not ready to make decisions

### **ASERL 2011 Annual Meeting**

- Annual Financial Report—see Balance Sheet
- Board Elections—Slate of candidates offered by Nominations Committee was accepted w/o further nominations and elected by consensus vote. Judy Russell (U-Florida is President-Elect, Catherine Murray-Rust (Georgia Tech) and John Zenelis (George Mason) are new Members At-Large. The ASERL Board will fill the remainder of Judy Russell’s at-large seat.
- Review/Discussion of ASERL Hosting Agreement—John U thanked the committee that worked on the RFP process. Duke submitted a proposal that was quite thorough; relocation is expected to cost about \$2000 from our reserves. Will this be cost neutral going forward? Yes, these costs are one-

time expenses. Question about where will we meet—often met in Atlanta, but that was primarily because of the ease of air travel, so that will likely continue. ASERL members affirmed the Board's decision—ASERL will relocate to Duke University. John expressed his thanks to LYRASIL and Kate Nevins for all of their support throughout the years.

- Board is recommending that a standing Finance Committee be created.
- Bylaws of the association have not been changed substantially in the last 12 years, and the Board recommends that we create a Task Force. Please let John U or John B or Sarah M if you are interested in serving on this TF
- The bylaws were changed at the Fall 2010 meeting in Durham to enable ASERL to invite new members from outside the region. Pat Steele from U-Maryland is here at the Board's invitation and to observe.
- John U is seeking volunteers to work on a Task Force to “articulating the value of ASERL membership to the Institution.” Sylverna Ford volunteered; a few others are sought to assist.

**Recess:** The meeting was recessed followed by a reception hosted by Vanderbilt Provost Richard McCarty.

*Wednesday, April 27, 2011*

**Round-Robin Discussion: Roles for Libraries in Data Curation, Management and Compliance with Federal Requirements: Who is Doing What? Ideas for Collaboration? Sarah Michalak, moderator**  
Various federal agencies that provide funding to research institutions (e.g., NIH, NSF, IMLS coming soon) require grant recipients to provide a plan for managing the long-term curation of data created by the funded research projects. Libraries can assist researchers by supporting this need for data curation. From the discussion it was clear that this is an emerging role for many libraries; with no clear proposals for collaborative programs raised during the discussion.

John U, Tyler Walters, and Bill Potter are in discussions with SURA about a pilot project to curate some data from SURA efforts. Stay tuned for more information as this develops.

#### **Best Practices for Resource Sharing – David Atkins, University of Tennessee**

With the growth of archiving and reduced local print collections, the need for effective ILL services will increase. David shared results of a recent survey of ILL practices within ASERL libraries. More than xx% met or exceeded the RUSA STARS requirement – they will receive a “STAR” certificate for their efforts. Other technological improvements are under development that can further enhance services.

#### **ASERL Civil War Era Digital Project – John Burger, ASERL**

The project portal is now live at [www.american-south.org](http://www.american-south.org). Thanks to the team at Digital Library of Georgia for their work in creating the portal, and to the many libraries that have digitized materials to support this project. More than 8,000 items are now discoverable via the portal. The project's Steering Committee will work to recruit additional content.

#### **ASERL Collaborative Federal Depository Program – Judy Russell**

Judy reviewed the revised plan for managing FDLP collections across the Southeast region. This is no longer a “draft” but an “Implementation Plan.” ASERL members endorsed the Implementation Plan unanimously. A Steering Committee made of ASERL library deans will oversee the process for implementing the activities described in the plan. First up – all ASERL depositories agreed to adopt one or more FDLP agencies/topics/formats as a Center of Excellence by September 1, 2011.

#### **Late Breaking News, Announcements, Etc**

- Internet Archive's In-Library ebook Lending Project – Judy Russell described UF's participation in IA's new effort that allows orphan works digitized by Internet Archive to be used by users inside the library; a first step to expanding use of orphan works.

- Use of Creative Commons Licenses for Original Cataloging – Judy Russell noted that UF was now marking all original cataloging work done at UF with a Creative Commons license to fend off any future claims that the work is copyrighted. Encourages others to do the same.
- ASERL Working Group – Articulating the Value of ASERL membership to the Institution – John Ulmschneider. Mentioned during Annual Meeting; additional volunteers are sought.
- TRLN's Intellectual Property Rights Strategy for Digitization of Modern Manuscript Collections and Archival Record Groups – Deborah Jakubs/Sarah Michalak. TRLN has drafted a plan for rights management of orphan works that are part of large-scale digitization programs. This plan assumes that authors – if they are ever located -- would grant permission to digitize of their works, so digitization can proceed without lengthy rights-clearance processes. If the author emerges and disapproves, the work will be taken down. ASERL members endorsed this plan unanimously.
- TRLN Grant to Identify Consortial eBook Purchasing Models – Deborah Jakubs/Sarah Michalak. TRLN received funding from the Mellon Foundation to host a summit meeting in August 2011 between consortium leaders and ebook publishers/aggregators. The goal is to identify business models that allows for greater sharing of ebook content. Results will be presented at Charleston Conference in November 2011.
- Training Opportunities for Middle Managers within ASERL – John Burger. Task Force of HR Directors (Brian Keith - U-Florida; Kim Burhop-Service – Duke; Tiffany Allen – UNC Chapel Hill) are gathering data about the types of management training available on ASERL campuses. They seek to re-use these existing resources to create a curriculum for training middle managers within ASERL libraries.
- ASERL Guide to Southern Barbecue – John Burger. After passionate discussions of “what makes for good barbecue” a team of hale and hearty volunteers has created a questionnaire to gather data about sites selected by ASERL libraries to be the 3 best BBQ restaurants within a 30 minute drive of their campus. Each ASERL library is asked to identify 3 restaurants using whatever process is desired by the library. Please submit data by August 15<sup>th</sup> using the online form of the questionnaire distributed by John.

**Wrap-Up/Adjournment:** Having no other business, John Ulmschneider adjourned the meeting at 11:38a.m CT. He thanked our hosts at Vanderbilt for their hospitality and the use of their appealing facilities.

#### **Participant List:**

Air University: Jeff Luzius	University of Alabama: Millie Jackson
ASERL: John Burger	University of Central Florida: Barry Baker
Auburn University: Bonnie MacEwan	University of Florida: Judy Russell
Clemson University: Kay Wall	University of Kentucky: Terry Birdwhistell
College of William & Mary: Bea Hardy	University of Louisville: Bob Fox
Duke University: Deborah Jakubs, Aisha Harvey	University of Maryland: Patricia Steele
East Carolina University: Larry Boyer	University of Memphis: Sylverna Ford
Emory University: Xuemao Wang	University of Miami: Bill Walker
Florida International University: Laura Probst	University of Mississippi: Gail Hererra
Florida State University: Julia Zimmerman	University of South Carolina: Marna Hostetler
Georgia State University: Nan Seamans	University of South Florida: Bill Garrison
Georgia Tech: Catherine Murray-Rust	University of Tennessee: Rita Smith, David Atkins
Guest Speakers: Lizanne Payne, Constance Malpas	University of Virginia: Carla Lee
Tulane University: Lance Query	Vanderbilt University: Connie Dowell
UAB: Jerry Stephens	Virginia Commonwealth Univ: John Ulmschneider
UNC Chapel Hill: Sarah Michalak	Virginia Tech: Tyler Walters
UNC Charlotte: Stanley Wilder	Wake Forest University: Lynn Sutton
UNC Greensboro: Kathryn Crowe	